

CITY OF TORRANCE, CALIFORNIA

ADDENDUM NO. 1

Issued: September 19, 2011

TO

**PROPOSAL, SPECIFICATIONS, BOND AND
AFFIDAVIT FOR THE CONSTRUCTION
OF**

**CURB, GUTTER AND SIDEWALK REPLACEMENT PROGRAM, I-93
AND
RESIDENTIAL SIDEWALK RAMPING (CDBG #601416-11)
B2011-35**

Note the following changes and/or additions to the Bidder's Proposal, Plans and/or Specifications for the project indicated above. The bidder shall execute the Certification at the end of this addendum, and shall **attach all pages of this addendum to the Contract Documents submitted with the Bid**. In addition, the bidder shall complete and submit the "Acknowledgment of Addenda Received" Form provided in Section C of the Specifications.

1. REFER TO THE BIDDER'S PROPOSAL DOCUMENT ON BLUE-COLORED PAPER INCLUDED WITH THE CONTRACT DOCUMENTS AND SPECIFICALLY TO PAGE C-3.

Page C-3 of the Bidder's Proposal on blue-colored paper is hereby deleted in its entirety and replaced with a new page "C-3 REVISED" provided with this Addendum. A Bidder shall not complete Page "C-3" of the Bidder's Proposal on blue colored paper and instead complete the new page "C-3 REVISED" provided with this Addendum. Bidder's are directed to insert their completed new page "C-3 REVISED" into their blue-colored Bidder's Proposal immediately following page "C-3" and to submit said documents with their bid. It is acceptable to submit the completed new page "C-3 REVISED" on white colored paper.

2. REFER TO SPECIFICATIONS SECTION C BID DOCUMENTS TO THE BIDDER'S PROPOSAL ON PAGE C-3.

Page C-3 of the Bidder's Proposal in the Specifications booklet is hereby deleted in its entirety and replaced with a new page "C-3 REVISED" provided with this Addendum.

3. REFER TO SPECIFICATIONS SECTION C, FEDERAL WAGE DECISION ON PAGE C-22.

The City of Torrance hereby notifies prospective bidders that the **current Federal Wage Decision for this project has been revised as of September 16, 2011** and can be found at:

<http://www.wdol.gov> or at <http://www.dot.ca.gov/hq/esc/oe/federal-wages/>

4. REFER TO SPECIFICATIONS SECTION C, COMPLIANCE WITH CLEAN AIR AND WATER ACTS ON PAGE C-43.

Delete the second paragraph in its entirety and replace with the following:

“During the performance of this contract, the contractor and all subcontractors shall comply with the requirements of the Clean Air Act, as amended, 42 USC 1857 et seq., the Federal Water Pollution Control Act, as amended, 33 USC 1251 et seq., Executive Order 11738, and the regulations of the Environmental Protection Agency with respect thereto, at 40 CFR Part 15, as amended.”

5. REFER TO SPECIFICATIONS SECTION D, PUBLIC WORKS AGREEMENT, SUBSECTION 29. ATTORNEY’S FEES ON PAGE D-15.

Add the following to paragraph to the Subsection

“If this Contract results in any discovery or invention which may develop in the course of or under contract, the City reserves the right to royalty-free, non-exclusive and irrevocable license to use and to authorize others to use, the work for government purpose. If this Contract results in any copyrightable material, the City and/or grantor agency reserves the right to royalty-free, non-exclusive and irrevocable license to reproduce, publish or otherwise use and to authorize others to use, the work for government purpose.

6. REFER TO SPECIAL PROVISIONS SECTION 2-5.3 SUBMITTALS ON PAGE E-4.

2-5.3.2 Working Drawings. Amend the standard specifications by adding the following:

“In addition to the shop drawings required per Table 2-5.3.2 (A), the following shop drawings are required:

Item	Subsection Number	Title	Subject
18	7-8.6.2	Storm Water Pollution Prevention Plan (SWPPP)	SWPPP

Revise the fourth paragraph of the Standard Specifications to add the following:

Shop drawings listed as Item **18**, shall be prepared and signed by a QSD (Qualified SWPPP Developer).

7. REFER TO SPECIAL PROVISIONS SUBSECTION 7-8.6.1 BEST MANAGEMENT PRACTICES (BMPs) ON PAGE E-15.

The subsection is hereby deleted in its entirety and replaced with the following subsections:

“7-8.6.1 NPDES General Permit, Notice of Intent (NOI) and Notice of Termination (NOT).

Construction activities including clearing, grading and excavating that result in land disturbances of equal to or greater than one acre are covered by the National Pollutant Discharge Elimination System General Construction Permit, State Water Board Order No. 2009-0009-DWQ. Dischargers obtaining coverage will file electronically for coverage under Order No. 2009-0009-DWQ. Order No. 2009-0009-DWQ is a Risk Based permitting approach. The Contractor is required to review the State Water Resources Control Board website and determine this project's risk level.

Order No. 2009-0009-DWQ includes, in Attachment A, requirements for all Linear Underground/Overhead Projects (LUPs) that are covered under the Small LUP General Permit 2003-007-DWQ. LUPs will be broken into project segments designated as LUP Type 1, Type 2, and Type 3. These LUP Types are analogous to the risks levels for traditional construction projects.

This General Construction Permit regulates pollutants in discharges of storm water associated with construction activity. To obtain authorization for proposed storm water discharges, pursuant to this General Construction Permit, the City must submit to the Storm Water Multiple Application and Reporting Tracking System (SMARTS) a Notice of Intent (NOI), Notice of Termination (NOT), compliance and monitoring data and Annual Reports when required. The Contractor shall provide to the City, at the required time, all required information necessary for the City to comply with these requirements.

The Contractor shall provide to the City its Storm Water Pollution Prevention Plan (SWPPP) both in hardcopy and pdf format, so the City may submit the SWPPP to the SMARTS online system.

Following construction and the Contractor's installation of any post-construction storm water Best Management Practices (for City approval), the Contractor shall notify the City in writing to request for consideration to terminate coverage under the General Construction Permit for a complete project and to submit a NOT via the SMARTS system.

Full compensation for preparation, administration and all other incidental work related to the NOI, NOT, construction, and post-construction BMPs, sampling, analysis and reporting as required by Order No. 2009-0009-DWQ and all other related costs shall be considered as included in the bid for NPDES COMPLIANCE.

7-8.6.2 Storm Water Pollution Prevention Plan (SWPPP).

Construction activities covered by the General Permit require submittal by the Contractor of a Storm Water Pollution Prevention Plan (SWPPP) prior to the start of any clearing, demolition, grading or excavation. A Storm Water Pollution Prevention Plan (SWPPP) shall be defined as a report that includes site map(s), identification of construction and contractor activities that could pollute storm water, and a description of measures and practices to control the potential pollutants. The preparation and implementation of the SWPPP is intended to ensure that the Contractor will make every reasonable effort to prevent the pollution of water resources during the period of

construction. The size and nature of this Contract place it under the regulations of the National Pollutant Discharge Elimination System (NPDES) General Permit for Storm Water Discharge Associated with Construction Activity. In the State of California, these regulations are adopted by the State Water Resources Control Board. These regulations require a SWPPP for any work where clearing, grading, and excavation result in a land disturbance of one or more acres. As a result, the Contractor shall prepare, submit to the CITY for review and approval, and implement a SWPPP for this Contract in compliance with these regulations.

The SWPPP, and any requested revisions thereto, shall be submitted to the CITY for review and approval. The SWPPP must be approved by the City, filed by the City on SMARTS and a WDID # issued, prior to the commencement of construction operations. The Contractor will be responsible for any costs or delays, should the contractor not timely submit the initial SWPPP and/or timely revise the SWPPP, should revisions be needed. The City will require a minimum of 5 working days to review each SWPPP submittal and revision, thereto. Subsequent to filing for the NOI on the SMARTS, the Contractor shall allow for 2 working days for issuance of the WDID #. The SWPPP shall remain on the construction site while site is under construction, during working hours, commencing with the initial construction activity and ending with Notice of Termination.

If, during construction operations, field conditions change in a manner which, in the opinion of the Engineer, significantly deviates from how the SWPPP, as approved by the CITY, addressed the current construction operation, the Engineer may direct the Contractor to revise the current construction operation and/or the SWPPP. Such directions will be made in writing and will specify the items of work for which the SWPPP is inadequate. No further work on these items will be permitted until the Contractor revises the construction operations to the satisfaction of the Engineer and/or until the Contractor submits a revised SWPPP and receives CITY approval. The Engineer will notify the Contractor of the acceptance or rejection of the revised SWPPP within seven (7) working days from the date of submittal.

Full compensation for preparation of the SWPPP, revisions to the SWPPP, and all other related costs shall be considered as included in the lump sum bid price for STORMWATER POLLUTION PREVENTION PLAN.

7-8.6.3 Best Management Practices. Best Management Practices (BMPs) shall be defined as any program, technology, process, siting criteria, operating method, measure, or device which controls, prevents, removes, or reduces pollution. The Contractor shall obtain and refer to the California Storm Water Best Management Practice Handbooks, Volume 3 Construction BMP Handbook and the Los Angeles County Department of Public Works Best Management Practices Handbook for Construction Activities. These publications are available from:

Los Angeles County
Department of Public Works - Cashier's Office
900 S. Fremont Avenue
Alhambra, CA 91803
Telephone (626) 458-6959

The Contractor shall have a minimum of two (2) readily accessible copies of each publication on the Work site at all times.

The Contractor shall implement BMPs in conjunction with the following construction operation and activities:

CONSTRUCTION PRACTICES	Clearing, Grading and Excavating
	Water Conservation Practices
	Dewatering
	Paving Operations
	Structure Construction and Painting
MATERIAL MANAGEMENT	Material Delivery and Storage
	Material Use
	Spill Prevention and Control
WASTE MANAGEMENT	Solid Waste Management
	Hazardous Waste Management
	Contaminated Soil Management
	Concrete Waste Management
	Sanitary/Septic Waste Management
VEHICLE AND EQUIPMENT MANAGEMENT	Vehicle and Equipment Cleaning
	Vehicle and Equipment Fueling
	Vehicle and Equipment Maintenance

The Contractor shall implement the following BMPs in conjunction with the previously listed construction operation activities:

VEGETATIVE STABILIZATION	Scheduling of Planting
	Preservation of Existing Vegetation
	Temporary Seeding and Planting
	Mulching
PHYSICAL STABILIZATION	Geotextiles and Mats
	Soil Stabilizer/Dust Control
	Temporary Stream Crossing
	Stabilized Construction Roadway
	Stabilized Construction Entrance
RUNOFF DIVERSION	Sodding, Grass Plugging, and Vegetative Buffer strips
	Earth Dikes, Drainage Swales, and Lined Ditches
	Top and Toe of Slope Diversion Ditches/Berms
	Slope Drains and Subsurface Drains

VELOCITY REDUCTION	Flared Culvert End Sections
	Outlet Protection/Velocity Dissipation Devices
	Check Dams
	Slope Roughening/Terracing/Rounding
SEDIMENT TRAPPING	Slit Fences
	Straw Bale Barrier
	Sand Bag Barrier

	Brush or Rock Filter
	Storm Drain Inlet Protection
	Sediment Traps
	Sediment Basin

Additional BMPs may be required as a result of a change in actual field conditions, Contractor activities, or construction operations. When more than one BMP is listed under each specific BMP category, the Contractor shall select the appropriate and necessary number of BMPs within each category in order to achieve the BMP objective.

BMPs for Contractor activities shall be continuously implemented throughout the year. BMPs for erosion control and sedimentation shall be implemented during the period from October 15 to April 15, and whenever the National Weather Service predicts rain within 24 hours. BMPs for erosion control and sedimentation shall also be implemented prior to the commencement of any Contractor activity or construction operation that may produce run-off, and whenever run-off from other sources may occur.

The CITY, as a Permittee, is subject to enforcement actions by the State Water Resources Control Board, the Environmental Protection Agency and private citizens. The CITY may assess the Contractor a penalty of \$1,000 for each calendar day that the Contractor has not fully implemented the appropriate BMPs and/or is otherwise in noncompliance with these provisions. In addition, the CITY will deduct, from the final payment due the Contractor, the total amount of any fines levied on the CITY, plus legal and staff costs, as a result of the Contractor's lack of compliance with these provisions and/or less than complete implementation of the appropriate BMPs.

7-8.6.4 Payment Full compensation for implementation and maintenance of BMPs, including the construction, removal, and the furnishing of all necessary labor, equipment, and materials, shall be considered as included in the lump sum price bid for the STORM WATER POLLUTION PREVENTION PLAN.

8. PROVIDED HEREIN IS INFORMATION ABOUT THE STORM WATER GENERAL PERMIT & NOTICE OF INTENT AND TERMINATION. SAID DOCUMENTS ARE HEREBY ADDED TO THE SPECIAL PROVISIONS AS APPENDIX VIII.

By Order of the Engineering Manager

/S/ Craig Bilezerian

CRAIG BILEZERIAN
Engineering Manager

BIDDER'S CERTIFICATION

I acknowledge receipt of the foregoing Addendum No. 1 and accept all conditions contained therein.

Bidder

By

Date

******* Submit this executed form with the bid *******

**Please fill out and submit the
“Acknowledgment of Addenda Received” form
provided in Section C of the Specifications.**

Item No.	Approx. Qty	Unit of Measure	Item Description	Unit Price	Total Bid
19	880	SF	NEWTON STREET AND GLENCOE WAY (LOC#201)	\$	\$
20	880	SF	NEWTON STREET AND PITCAIRN WAY (LOC#202)	\$	\$
21	965	SF	GREEN MEADOWS AVENUE AND JANET LANE (LOC#228)	\$	\$
22	1,930	SF	GREEN MEADOWS AVENUE AND HARLEE LANE (LOC#230)	\$	\$
23	1,930	SF	HIGHGROVE AVENUE AND HARLEE LANE (LOC#231)	\$	\$
24	1,001	SF	PASEO DE PABLO AND VIA COLUSA (LOC#249)	\$	\$
25	916	SF	PASEO DE PABLO AND CALLE DE FELIPE (LOC#250)	\$	\$
26	1	LS	STORMWATER POLLUTION PREVENTION PLAN	\$	\$
27	1	LS	NPDES COMPLIANCE	\$	\$

TOTAL BID PRICE \$ _____
(Figures)*

TOTAL BID PRICE: _____
(Words)*

***BID MAY BE REJECTED IF TOTAL IS NOT SHOWN IN FIGURES AND WORDS.**

B2011-35

APPENDIX VIII

CONSTRUCTION ACTIVITIES GENERAL PERMIT, ORDER NO. 99-08-DWQ AND NOTICE OF INTENT/TERMINATION FOR ORDER NO. 99-08-DWQ

Additional information about these requirements and documents
can be obtained at

http://www.waterboards.ca.gov/water_issues/programs/stormwater/gen_const.shtml#const_permit



Linda S Adams
*Secretary for
Environmental Protection*

State Water Resources Control Board

Division of Water Quality

1001 I Street • Sacramento, California 95814 • (916) 341-5537
Mailing Address: P.O. Box 1977 • Sacramento, California • 95812-1977
FAX (916) 341-5543 • Internet Address: http://www.waterboards.ca.gov/water_issues/programs/stormwater/



Arnold Schwarzenegger
Governor

CHECKLIST FOR SUBMITTING A NOTICE OF INTENT

In order for the State Water Resources Control Board to expeditiously process your Notice of Intent (NOI), the following items must be submitted to either of the addresses indicated below:

1. _____ NOI (please keep a copy for your files) with all applicable sections completed and original signature of the landowner or signatory agent;
2. _____ Check made out to the "State Water Resources Control Board"
See reverse for listing of fees by acre. The fee is based on the "Total Acres to be Disturbed" for the life of the project.
3. _____ Site Map of the facility (see NOI instructions). DO NOT SEND BLUEPRINTS

U.S. Postal Service Address

State Water Resources Control Board
Division of Water Quality
Attn: Storm Water Section
P.O. Box 1977
Sacramento, CA 95812-1977

Overnight Mailing Address

State Water Resources Control Board
Division Of Water Quality
Attn: Storm Water, 15th Floor
1001 I Street
Sacramento, CA 95814

NOIs are processed in the order they are received. A NOI receipt letter will be mailed to the land owner within approximately two weeks. Incomplete NOI submittals will be returned to the landowner's address within the same timeframe and will specify the reason(s) for return. If you need a receipt letter by a specific date (for example, to provide to a local agency), we advise that you submit your NOI thirty (30) days prior to the date the receipt letter is needed.

Please do not call us to verify your NOI status. A copy of your NOI receipt letter will be available on our web page within twenty-four (24) hours of processing. Go to:
http://www.waterboards.ca.gov/water_issues/programs/stormwater/databases.shtml
to retrieve an electronic copy of your NOI receipt letter. If you have any questions regarding this matter, please contact us at (916) 341-5537.

Construction Annual Fees by Acre

Partial Acreage rounded to nearest whole number

<u>Acres</u>	<u>Fee</u>	<u>21% Surcharge</u>	<u>Total Fee</u>	<u>Acres</u>	<u>Fee</u>	<u>21% Surcharge</u>	<u>Total Fee</u>
0	\$238	\$50	\$288	51	\$1,462	\$307	\$1,769
1	\$262	\$55	\$317	52	\$1,486	\$312	\$1,798
2	\$286	\$60	\$346	53	\$1,510	\$317	\$1,827
3	\$310	\$65	\$375	54	\$1,534	\$322	\$1,856
4	\$334	\$70	\$404	55	\$1,558	\$327	\$1,885
5	\$358	\$75	\$433	56	\$1,582	\$332	\$1,914
6	\$382	\$80	\$462	57	\$1,606	\$337	\$1,943
7	\$406	\$85	\$491	58	\$1,630	\$342	\$1,972
8	\$430	\$90	\$520	59	\$1,654	\$347	\$2,001
9	\$454	\$95	\$549	60	\$1,678	\$352	\$2,030
10	\$478	\$100	\$578	61	\$1,702	\$357	\$2,059
11	\$502	\$105	\$607	62	\$1,726	\$362	\$2,088
12	\$526	\$110	\$636	63	\$1,750	\$368	\$2,118
13	\$550	\$116	\$666	64	\$1,774	\$373	\$2,147
14	\$574	\$121	\$695	65	\$1,798	\$378	\$2,176
15	\$598	\$126	\$724	66	\$1,822	\$383	\$2,205
16	\$622	\$131	\$753	67	\$1,846	\$388	\$2,234
17	\$646	\$136	\$782	68	\$1,870	\$393	\$2,263
18	\$670	\$141	\$811	69	\$1,894	\$398	\$2,292
19	\$694	\$146	\$840	70	\$1,918	\$403	\$2,321
20	\$718	\$151	\$869	71	\$1,942	\$408	\$2,350
21	\$742	\$156	\$898	72	\$1,966	\$413	\$2,379
22	\$766	\$161	\$927	73	\$1,990	\$418	\$2,408
23	\$790	\$166	\$956	74	\$2,014	\$423	\$2,437
24	\$814	\$171	\$985	75	\$2,038	\$428	\$2,466
25	\$838	\$176	\$1,014	76	\$2,062	\$433	\$2,495
26	\$862	\$181	\$1,043	77	\$2,086	\$438	\$2,524
27	\$886	\$186	\$1,072	78	\$2,110	\$443	\$2,553
28	\$910	\$191	\$1,101	79	\$2,134	\$448	\$2,582
29	\$934	\$196	\$1,130	80	\$2,158	\$453	\$2,611
30	\$958	\$201	\$1,159	81	\$2,182	\$458	\$2,640
31	\$982	\$206	\$1,188	82	\$2,206	\$463	\$2,669
32	\$1,006	\$211	\$1,217	83	\$2,230	\$468	\$2,698
33	\$1,030	\$216	\$1,246	84	\$2,254	\$473	\$2,727
34	\$1,054	\$221	\$1,275	85	\$2,278	\$478	\$2,756
35	\$1,078	\$226	\$1,304	86	\$2,302	\$483	\$2,785
36	\$1,102	\$231	\$1,333	87	\$2,326	\$488	\$2,814
37	\$1,126	\$236	\$1,362	88	\$2,350	\$494	\$2,844
38	\$1,150	\$242	\$1,392	89	\$2,374	\$499	\$2,873
39	\$1,174	\$247	\$1,421	90	\$2,398	\$504	\$2,902
40	\$1,198	\$252	\$1,450	91	\$2,422	\$509	\$2,931
41	\$1,222	\$257	\$1,479	92	\$2,446	\$514	\$2,960
42	\$1,246	\$262	\$1,508	93	\$2,470	\$519	\$2,989
43	\$1,270	\$267	\$1,537	94	\$2,494	\$524	\$3,018
44	\$1,294	\$272	\$1,566	95	\$2,518	\$529	\$3,047
45	\$1,318	\$277	\$1,595	96	\$2,542	\$534	\$3,076
46	\$1,342	\$282	\$1,624	97	\$2,566	\$539	\$3,105
47	\$1,366	\$287	\$1,653	98	\$2,590	\$544	\$3,134
48	\$1,390	\$292	\$1,682	99	\$2,614	\$549	\$3,163
49	\$1,414	\$297	\$1,711	>100	\$2,618	\$550	\$3,168
50	\$1,438	\$302	\$1,740				

FACT SHEET
FOR
WATER QUALITY ORDER 99-08-DWQ

STATE WATER RESOURCES CONTROL BOARD (SWRCB)
901 P STREET, SACRAMENTO, CALIFORNIA 95814

NATIONAL POLLUTANT DISCHARGE ELIMINATION SYSTEM (NPDES)
GENERAL PERMIT FOR
STORM WATER DISCHARGES ASSOCIATED WITH
CONSTRUCTION ACTIVITY (GENERAL PERMIT)

BACKGROUND

In 1972, the Federal Water Pollution Control Act (also referred to as the Clean Water Act [CWA]) was amended to provide that the discharge of pollutants to waters of the United States from any point source is unlawful unless the discharge is in compliance with an NPDES permit. The 1987 amendments to the CWA added Section 402(p) which establishes a framework for regulating municipal and industrial storm water discharges under the NPDES Program. On November 16, 1990, the U.S. Environmental Protection Agency (USEPA) published final regulations that establish storm water permit application requirements for specified categories of industries. The regulations provide that discharges of storm water to waters of the United States from construction projects that encompass five (5) or more acres of soil disturbance are effectively prohibited unless the discharge is in compliance with an NPDES Permit. Regulations (Phase II Rule) that became final on December 8, 1999 expand the existing NPDES program to address storm water discharges from construction sites that disturb land equal to or greater than one (1) acre and less than five (5) acres (small construction activity). The regulations require that small construction activity, other than those regulated under an individual or Regional Water Quality Control Board General Permit, must be permitted no later than March 10, 2003.

While federal regulations allow two permitting options for storm water discharges (individual permits and General Permits), the SWRCB has elected to adopt only one statewide General Permit at this time that will apply to all storm water discharges associated with construction activity, except from those on Tribal Lands, in the Lake Tahoe Hydrologic Unit, and those performed by the California Department of Transportation (Caltrans). Construction on Tribal Lands is regulated by an USEPA permit, the Lahontan Regional Water Control Board adopted a separate NPDES permit for the Lake Tahoe Hydrologic Unit, and the SWRCB adopted a separate NPDES permit for Caltrans projects. This General Permit requires all dischargers where construction activity disturbs one acre or more, to:

1. Develop and implement a Storm Water Pollution Prevention Plan (SWPPP) which specifies Best Management Practices (BMPs) that will prevent all construction pollutants from contacting storm water and with the intent of keeping all products of erosion from moving off site into receiving waters.

2. Eliminate or reduce nonstorm water discharges to storm sewer systems and other waters of the nation.
3. Perform inspections of all BMPs.

This General Permit shall be implemented and enforced by the nine California Regional Water Quality Control Boards (RWQCBs).

The General Permit accompanying this fact sheet regulates storm water runoff from construction sites. Regulating many storm water discharges under one permit will greatly reduce the otherwise overwhelming administrative burden associated with permitting individual storm water discharges. Dischargers shall submit a Notice of Intent (NOI) to obtain coverage under this General Permit. It is expected that as the storm water program develops, the RWQCBs may issue General Permits or individual permits containing more specific permit provisions. When this occurs, those dischargers will no longer be regulated by this General Permit.

On August 19, 1999, the State Water Resources Control Board (SWRCB) reissued the General Construction Storm Water Permit (Water Quality Order 99-08-DWQ referred to as "General Permit"). The San Francisco BayKeeper, Santa Monica BayKeeper, San Diego BayKeeper, and Orange Coast Keeper filed a petition for writ of mandate challenging the General Permit in the Superior Court, County of Sacramento. The Court issued a judgment and writ of mandate on September 15, 2000. The Court directed the SWRCB to modify the provisions of the General Permit to require permittees to implement specific sampling and analytical procedures to determine whether Best Management Practices (BMPs) implemented on a construction site are: (1) preventing further impairment by sediment in storm waters discharged directly into waters listed as impaired for sediment or silt, and (2) preventing other pollutants, that are known or should be known by permittees to occur on construction sites and that are not visually detectable in storm water discharges, from causing or contributing to exceedances of water quality objectives. The monitoring provisions in the General Permit have been modified pursuant to the court order.

TYPES OF CONSTRUCTION ACTIVITY COVERED BY THIS GENERAL PERMIT

Construction activity subject to this General Permit includes clearing, grading, disturbances to the ground such as stockpiling, or excavation that results in soil disturbances of at least one acre of total land area. Construction activity that results in soil disturbances of less than one acre is subject to this General Permit if the construction activity is part of a larger common plan of development that encompasses one or more acres of soil disturbance or if there is significant water quality impairment resulting from the activity. Construction activity does not include routine maintenance to maintain original line and grade, hydraulic capacity, or original purpose of the facility, nor does it include emergency construction activities required to protect public health and safety. Dischargers should confirm with the local RWQCB whether or not a particular routine maintenance activity is subject to this General Permit.

A construction project which includes a dredge and/or fill discharge to any jurisdictional surface water (e.g., wetland, channel, pond, or marine water) will also need a CWA Section 404 permit

from the U.S. Army Corps of Engineers and a CWA Section 401 Water Quality Certification from the RWQCB/SWRCB. Storm water discharges from dredge spoil placement which occurs outside of Corps jurisdiction (upland sites) and are part of construction activity which disturbs one or more acres of land are covered by this general permit. Proponents of construction projects which disturb one or more acres of land within the jurisdictional boundaries of a CWA Section 404 permit should contact the local RWQCB to determine the applicability of this permit to the project.

NOTIFICATION REQUIREMENTS

It is the responsibility of the landowner to obtain coverage under this General Permit prior to commencement of construction activities. To obtain coverage, the landowner must file an NOI with a vicinity map and the appropriate fee with the SWRCB. In addition, coverage under this permit shall not occur until the applicant develops an adequate SWPPP for the project. Section A of the General Permit outlines the required contents of a SWPPP. For proposed construction activity on easements or on nearby property by agreement or permission, the entity responsible for the construction activity shall file an NOI and filing fee and shall be responsible for development of the SWPPP, all of which must occur prior to commencement of construction activities.

A separate NOI shall be submitted to the SWRCB for each construction site. Owners of new construction shall file an NOI prior to the commencement of construction. Owners of an ongoing construction site that is covered under the previous General Construction Permit (WQ Order No.92-08-DWQ) (1) shall continue to implement their existing SWPPP and monitoring program and (2) shall implement any necessary revisions to their SWPPP in a timely manner but in no case later than 90-calender days from adoption of this General Permit in accordance with Section A of this General Permit.

The NOI requirements of the General Permit are intended to establish a mechanism which can be used to clearly identify the responsible parties, locations, and scope of operations of dischargers covered by the General Permit and to document the discharger's knowledge of the requirements for a SWPPP.

The NOI must be sent to the following address:

State Water Resources Control Board
Division of Water Quality
Storm Water Permit Unit
P.O. Box 1977
Sacramento, CA 95812-1977

The Annual fees are established through regulations adopted by the SWRCB. The total annual fee is the current base fee plus applicable surcharges for all construction sites submitting an NOI .

When construction is complete or ownership has been transferred, dischargers shall file a Notice of Termination with the RWQCB certifying that all State and local requirements have been met in accordance with Special Provisions for Construction Activity, C.7, of the General Permit.

Dischargers who fail to obtain coverage under this General Permit for storm water discharges to surface waters will be in violation of the CWA and the California Water Code.

CONSTRUCTION ACTIVITY NOT COVERED BY THIS GENERAL PERMIT

This General Permit does not apply to storm water discharges from (1) those areas on Tribal Lands; (2) the Lake Tahoe Hydrologic Unit; (3) construction under one acre, unless part of a larger common plan of development or sale; (4) projects covered by an individual NPDES Permit for storm water discharges associated with construction activity; and (5) landfill construction that is subject to the general industrial permit.

Storm water discharges in the Lake Tahoe Hydrologic Unit are regulated by a separate permit(s) adopted by the California Regional Water Quality Control Board, Lahontan Region (LRWQCB). USEPA regulates storm water discharges on Tribal Lands. Permit applications for storm water discharges that will be conducted in the Lake Tahoe Hydrologic Unit must be submitted directly to the LRWQCB.

DESCRIPTION OF GENERAL PERMIT CONDITIONS

The following is a brief description of the major provisions of the General Permit and the basis for the General Permit.

Prohibitions

This General Permit authorizes the discharge of storm water to surface waters from construction activities that result in the disturbance of one or more acres of land. It prohibits the discharge of materials other than storm water and authorized non-storm water discharges and all discharges which contain a hazardous substance in excess of reportable quantities established at 40 Code of Federal Regulations (CFR) 117.3 or 40 CFR 302.4 unless a separate NPDES Permit has been issued to regulate those discharges. In addition, this General Permit contains provisions that uphold discharge prohibitions contained in water quality control plans, as implemented through the nine RWQCBs.

Effluent Limitations

Permits for storm water discharges associated with construction activity shall meet all applicable provisions of Sections 301 and 402 of the CWA. These provisions require controls of pollutant discharges that utilize best available technology economically achievable (BAT) and best conventional pollutant control technology (BCT) to reduce pollutants and any more stringent controls necessary to meet water quality standards.

It is not feasible at this time for the SWRCB to establish numeric effluent limitations. The reasons why it is not feasible to establish numeric effluent limitations are discussed in detail in SWRCB Order Nos. WQ 91-03 and WQ 91-04. Therefore, the effluent limitations contained in this General Permit are narrative and include the requirement to implement appropriate BMPs.

The BMPs shall primarily emphasize source controls such as erosion control and pollution prevention methods. The discharger shall also install structural controls, as necessary, such as sediment control which will constitute BAT and BCT and will achieve compliance with water quality standards. The narrative effluent limitations constitute compliance with the requirements of the CWA.

Elimination or reduction of nonstorm water discharges is a major goal of this General Permit. Nonstorm water discharges include a wide variety of sources, including improper dumping, spills, or leakage from storage tanks or transfer areas. Nonstorm water discharges may contribute a significant pollutant load to receiving waters. Measures to control spills, leakage, and dumping and to prevent illicit connections during construction shall be addressed through structural as well as non-structural BMPs.

This General Permit prohibits the discharge of materials other than storm water and authorized nonstorm water discharges. It is recognized that certain nonstorm water discharges may be necessary for the completion of construction projects. Such discharges include, but are not limited to irrigation of vegetative erosion control measures, pipe flushing and testing, street cleaning, and dewatering. Such discharges are allowed by this General Permit provided they are not relied upon to clean up failed or inadequate construction or post-construction BMPs designed to keep materials onsite. These authorized nonstorm water discharges shall (1) be infeasible to eliminate, (2) comply with BMPs as described in the SWPPP, and (3) not cause or contribute to a violation of water quality standards. Additionally, these discharges may be required to be permitted by the local RWQCB (e.g., some RWQCBs have adopted General Permits for dewatering discharges). This General Permit is performance-based to the extent that it prohibits the discharge of storm water that causes or threatens to cause pollution, contamination, or nuisance; but it also allows the owner/developer to determine the most economical, effective, and possibly innovative BMPs.

The requirements of this General Permit are intended to be implemented on a year-round basis, not just during the part of the year when there is a high probability of a precipitation event which results in storm water runoff. The permit should be implemented at the appropriate level and in a proactive manner during all seasons while construction is ongoing.

Weather and storm predictions or weather information concerning the 10-year, 6-hour storm event and mean annual rainfall can be obtained by calling the Western Regional Climate Center at 775-674-7010 or via the internet at www.wrcc.dri.edu/precip.html and/or www.wrcc.dri.edu/pcpnfreq.html.

Receiving Water Limitations Language

The receiving water limitations language is fundamentally different from the language adopted in the SWRCB General Industrial Activities Storm Water Permit on April 17, 1997. Construction related activities which cause or contribute to an exceedance of water quality standards must be corrected immediately and cannot wait for the RWQCB to approve a plan of action to correct. The dynamic nature of construction activity allows the discharger the ability to more quickly identify and correct the source of the exceedances. Therefore, the owner is

required to take immediate corrective action and to provide a report to the appropriate RWQCB within 14-calendar days of the violation describing the corrective action.

Storm Water Pollution Prevention Plan (SWPPP)

This General Permit requires development and implementation of a SWPPP. This document emphasizes the use of appropriately selected, correctly installed and maintained pollution reduction BMPs. This approach provides the flexibility necessary to establish BMPs which can effectively address source control of pollutants during changing construction activities.

All dischargers shall prepare and implement a SWPPP prior to disturbing a site. The SWPPP must be implemented at the appropriate level to protect water quality at all times throughout the life of the project. Nonstorm water BMPs must be implemented year round. The SWPPP shall remain on the site while the site is under construction, commencing with the initial mobilization and ending with the termination of coverage under the permit.

The SWPPP has two major objectives: (1) to help identify the sources of sediment and other pollutants that affect the quality of storm water discharges and (2) to describe and ensure the implementation of BMPs to reduce or eliminate sediment and other pollutants in storm water as well as nonstorm water discharges. The SWPPP shall include BMPs which address source control and, if necessary, shall also include BMPs which address pollutant control.

Required elements of a SWPPP include: (1) site description addressing the elements and characteristics specific to the site, (2) descriptions of BMPs for erosion and sediment controls, (3) BMPs for construction waste handling and disposal, (4) implementation of approved local plans, (5) proposed post-construction controls, including description of local post-construction erosion and sediment control requirements, and (6) nonstorm water management.

To ensure that the preparation, implementation, and oversight of the SWPPP is sufficient for effective pollution prevention, individuals responsible for creating, revising, overseeing, and implementing the SWPPP should participate in applicable training programs and document such training in the SWPPP.

SWPPPs are reports that are available to the public under Section 308(b) of the CWA and will be made available by the RWQCB upon request.

Monitoring Program

Another major feature of the General Permit is the development and implementation of a monitoring program. All dischargers are required to conduct inspections of the construction site prior to anticipated storm events and after actual storm events. During extended storm events, inspections must be made during each 24-hour period. The goals of these inspections are (1) to identify areas contributing to a storm water discharge; (2) to evaluate whether measures to reduce pollutant loadings identified in the SWPPP are adequate and properly installed and functioning in accordance with the terms of the General Permit; and (3) whether additional control practices or corrective maintenance activities are needed. Equipment, materials, and

workers must be available for rapid response to failures and emergencies. All corrective maintenance to BMPs shall be performed as soon as possible, depending upon worker safety.

Each discharger shall certify annually that the construction activities are in compliance with the requirements of this General Permit. Dischargers who cannot certify annual compliance shall notify the appropriate RWQCB. A well-developed monitoring program will provide a good method for checking the effectiveness of the SWPPP.

Retention of Records

The discharger is required to retain records of all monitoring information, copies of all reports required by this General Permit, and records of all data used to complete the NOI for all construction activities to be covered by the General Permit for a period of at least three years from the date generated. This period may be extended by request of the SWRCB and/or RWQCB. With the exception of reporting noncompliance to the appropriate RWQCB, dischargers are not required to submit the records, except upon specific request by the RWQCB.

NOTICE OF INTENT (NOI) TO COMPLY WITH THE TERMS
OF THE GENERAL PERMIT TO DISCHARGE STORM WATER
ASSOCIATED WITH CONSTRUCTION ACTIVITY

GENERAL INSTRUCTIONS

Who Must Submit

Discharges of storm water associated with construction that results in the disturbance of one acre or more of land must apply for coverage under the General Construction Activities Storm Water Permit (General Permit). Construction activity which is a part of a larger common area of development or sale must also be permitted. (For example, if 4 acres of a 20-acre subdivision is disturbed by construction activities, and the remaining 16 acres is to be developed at a future date, the property owner must obtain a General Storm Water Permit for the 4-acre project). Construction activity includes, but is not limited to: clearing, grading, demolition, excavation, construction of new structures, and reconstruction of existing facilities involving removal and replacement that results in soil disturbance. This includes construction access roads, staging areas, storage areas, stockpiles, and any off-site areas which receive run-off from the construction project such as discharge points into a receiving water. Construction activity does not include routine maintenance to maintain original line and grade, hydraulic capacity, or original purpose of the facility.

The owner of the land where the construction activity is occurring is responsible for obtaining a permit. Owners may obtain coverage under the General Permit by filing a NOI in accordance with the following instructions. Coverage for construction activity conducted on easements (e.g., pipeline construction) or on nearby properties by agreement or permission, or by an owner or lessee of a mineral estate (oil, gas, geothermal, aggregate, precious metals, and/or industrial minerals) entitled to conduct the activities, shall be obtained by the entity responsible for the construction activity. Linear construction projects which will have construction activity occurring in one or more than one Region should contact the State Water Resources Control Board at the number listed below prior to submitting an NOI application for specific information related to the use of the NOI form.

Construction Activity Not Covered By This General Permit

Storm water discharges in the Lake Tahoe Hydrologic Unit will be regulated by a separate permit(s) adopted by the California Regional Water Quality Control Board, Lahontan Region, and will not be covered under the State Water Resources Control Board's (SWRCB) General Permit. Storm water discharges on Indian Lands will be regulated by the U.S. Environmental Protection Agency.

Where to Apply

The NOI form, vicinity map, and appropriate fee must be mailed to the SWRCB at the following address:

State Water Resources Control Board
Division of Water Quality
Attn: Storm Water Permit Unit
P.O. Box 1977
Sacramento, CA 95812-1977

When to Apply

Property owners proposing to conduct construction activities subject to this General Permit must file a Notice of Intent prior to the commencement of construction activity.

Fees

The total annual fee is the current base fee plus applicable surcharges for all construction sites submitting an NOI. Checks should be made payable to: SWRCB.

Completing the Notice of Intent (NOI)

The submittal to obtain coverage under the General Permit must include a completed NOI Form (Notice of Intent, attached), a vicinity map, and the appropriate annual fee. The NOI must be completely and accurately filled out; the vicinity map and annual fee must be included with the NOI or the submittal is considered incomplete and will be rejected. A construction site is considered to be covered by the General Permit upon filing a complete NOI submittal, and implementation of a defensible Storm Water Pollution Prevention Plan (SWPPP). Upon receipt of a complete NOI submittal, each discharger will be sent a receipt letter containing the waste discharger's identification (WDID) number.

Questions?

If you have any questions on completing the NOI please call the SWRCB at (916) 341-5537.

NOI-LINE-BY-LINE INSTRUCTIONS

Please type or print when completing the NOI Form and vicinity map.

SECTION I--NOI STATUS

Mark one of the two boxes at the top portion of the NOI. Check box 1 if the NOI is being completed for new construction. Check box 2 if the NOI is being submitted to report changes for a construction site already covered by the General Permit. An example of a change that warrants a resubmittal of the NOI is a change of total area of the construction site. The permit is non-transferable, a change of ownership requires a Notice of Termination (NOT) submittal and a new NOI. Complete only those portions of the NOI that apply to the changes (the NOI must always be signed). If box 2 is checked, the WDID number must be included.

SECTION II--PROPERTY OWNER

Enter the construction site owner's official or legal name and address; contact person (if other than owner), title, and telephone number.

SECTION III--DEVELOPER / CONTRACTOR INFORMATION

Enter the name of the developer's (or general contractor's) official or legal name, address, contact person, title, and telephone number. The contact person should be someone who is familiar with the construction site and is responsible for compliance and oversight of the general permit.

SECTION IV--CONSTRUCTION PROJECT INFORMATION

Enter the project name, site address, county, city, (or nearest city if construction is occurring in an unincorporated area), zip code, and telephone number (if any) of the construction site. Include an emergency contact telephone or pager number. Construction site information should include latitude and longitude designations, tract numbers, and/or mile post markers, if applicable. The site contact person should be someone who is familiar with the project, site plans, SWPPP, and monitoring program. All NOIs must be accompanied by a vicinity map.

Part A: Enter the total size in acres of all areas associated with construction activity, including all access roads.

Part B: Enter the total size in acres of the area to be disturbed by construction activity and the percentage of the area listed in Part A above that this represents.

Part C: Enter the percentage of the site that is impervious (areas where water cannot soak into the ground, such as concrete, asphalt, rooftops, etc.) before and after construction.

Part D: Include tract numbers, if available.

- Part E: Enter the mile post marker number at the project site location.
- Part F: Indicate whether the construction site is part of a larger common plan of development or sale. For example, if the construction activity is occurring on a two-acre site which is within a development that is one acre or greater, answer yes.
- Part G: Enter the name of the development (e.g. "Quail Ridge Subdivision", "Orange Valley Estates", etc.).
- Part H: Indicate when construction will begin (month, day, year). When a NOI is being submitted due to a change in ownership, the commencement date should be the date the new ownership took effect.
- Part I: Indicate the percentage of the total project area to be mass graded.
- Part J: Enter the estimated completion dates for the mass grading activities and for the project completion.
- Part K: Indicate the type(s) of construction taking place. For example, "Transportation" should be checked for the construction of roads; "Utility" should be checked for installation of sewer, electric, or telephone systems. Include a description of the major construction activities, (e.g., 20 single family homes, a supermarket, an office building, a factory, etc.)

SECTION V--BILLING ADDRESS

To continue coverage under the General Permit, the annual fee must be paid. Indicate where the annual fee invoice should be mailed by checking one of the following boxes:

Owner: sent to the owners address as it appears in Section II.

Developer/Contractor: sent to the developer's address as it appears in Section III.

Other: sent to a different address and enter that address in the spaces provided.

SECTION VI--REGULATORY STATUS

Indicate whether or not the site is subject to local erosion/sediment control ordinances. Indicate whether the erosion/sediment control plan designed to comply with the ordinance addresses the construction of infrastructure and structures in addition to grading. Identify the name and telephone number of the local agency, if applicable.

SECTION VII--RECEIVING WATER INFORMATION

Part A: Indicate whether the storm water runoff from the construction site discharges indirectly to waters of the United States, directly to waters of the United States, or to a separate storm drain system.

Indirect discharges include discharges that may flow overland across adjacent properties or rights-of-way prior to discharging into waters of the United States.

Enter the name of the owner/operator of the relevant storm drain system, if applicable. Storm water discharges directly to waters of the United States will typically have an outfall structure directly from the facility to a river, lake, creek, stream, bay, ocean, etc. Discharges to separate storm sewer systems are those that discharge to a collection system operated by municipalities, flood control districts, utilities, or similar entities.

Part B: Enter the name of the receiving water. Regardless of point of discharge, the owner must determine the receiving water for the construction site's storm water discharge. Enter the name of the receiving water.

SECTION VIII--IMPLEMENTATION OF NPDES PERMIT REQUIREMENTS

Part A: Indicate the status of the SWPPP, date prepared, or availability for review. Also indicate if a tentative construction schedule has been included in the SWPPP (the inclusion of a construction activity schedule is a mandatory SWPPP requirement).

Part B: Provide information concerning the status of the development of a monitoring program, a component of the SWPPP which outlines an inspection and maintenance schedule for the proposed Best Management Practices (BMPs). Provide name and phone number of program preparer.

Part C: Provide the name and phone numbers of the responsible party or parties designated to insure compliance with all elements of the General Permit and SWPPP.

SECTION IX--VICINITY MAP AND FEE

Provide a "to scale" or "to approximate scale" drawing of the construction site and the immediate surrounding area. Whenever possible, limit the map to an 8.5" x 11' or 11" x 17" sheet of paper. At a minimum, the map must show the site perimeter, the geographic features surrounding the site, and general topography, and a north arrow. The map must also include the location of the construction project in relation to named streets, roads, intersections, or landmarks. A NOI containing a map which does not clearly indicate the location of the construction project will be rejected. Do not submit blueprints unless they meet the above referenced size limits.

SECTION X--CERTIFICATIONS

This section must be completed by the owner or signatory agent of the construction site*. The certification provides assurances that the NOI and vicinity map were completed in an accurate and complete fashion and with the knowledge that penalties exist for providing false information. Certification also requires the owner to comply with the provisions in the General Permit.

* For a corporation: a responsible corporate officer (or authorized individual). For a partnership or sole proprietorship: a general partner or the proprietor, respectively. For a municipality, State, Federal, or other public agency: either a principal executive officer, ranking elected official, or duly authorized representative.



NOTICE OF INTENT
TO COMPLY WITH THE TERMS OF THE
GENERAL PERMIT TO DISCHARGE STORM WATER
ASSOCIATED WITH CONSTRUCTION ACTIVITY (WQ ORDER No. 99-08-DWQ)

I. NOI STATUS (SEE INSTRUCTIONS)MARK ONLY ONE ITEM 1. ☐ New Construction 2. ☐ Change of Information for WDID# **II. PROPERTY OWNER**

Name	Contact Person		
Mailing Address	Title		
City	State	Zip	Phone
Owner Type (check one) 1. <input type="checkbox"/> Private Individual 2. <input type="checkbox"/> Business 3. <input type="checkbox"/> Municipal 4. <input type="checkbox"/> State 5. <input type="checkbox"/> Federal 6. <input type="checkbox"/> Other			

III. DEVELOPER/CONTRACTOR INFORMATION

Developer/Contractor	Contact Person		
Mailing Address	Title		
City	State	Zip	Phone

IV. CONSTRUCTION PROJECT INFORMATION

Site/Project Name		Site Contact Person	
Physical Address/Location		Latitude _____°	Longitude _____°
City (or nearest City)		Zip	County
		Site Phone Number	Emergency Phone Number
A. Total size of construction site area: _____ Acres	C. Percent of site imperviousness (including rooftops): Before Construction: _____% After Construction: _____%		D. Tract Number(s): _____, _____
B. Total area to be disturbed: _____ Acres (% of total _____)			E. Mile Post Marker: _____
F. Is the construction site part of a larger common plan of development or sale? <input type="checkbox"/> YES <input type="checkbox"/> NO		G. Name of plan or development:	
H. Construction commencement date: ____/____/____		J. Projected construction dates: Complete grading: ____/____/____ Complete project: ____/____/____	
I. % of site to be mass graded: _____			
K. Type of Construction (Check all that apply): 1. <input type="checkbox"/> Residential 2. <input type="checkbox"/> Commercial 3. <input type="checkbox"/> Industrial 4. <input type="checkbox"/> Reconstruction 5. <input type="checkbox"/> Transportation 6. <input type="checkbox"/> Utility Description: _____ 7. <input type="checkbox"/> Other (Please List): _____			

V. BILLING INFORMATION

SEND BILL TO: <input type="checkbox"/> OWNER (as in II. above)	Name	Contact Person
<input type="checkbox"/> DEVELOPER (as in III. above)	Mailing Address	Phone/Fax
<input type="checkbox"/> OTHER (enter information at right)	City	State Zip

VI. REGULATORY STATUS

- A. Has a local agency approved a required erosion/sediment control plan?..... ☐ YES ☐ NO
Does the erosion/sediment control plan address construction activities such as infrastructure and structures?..... ☐ YES ☐ NO
Name of local agency: _____ Phone: _____
- B. Is this project or any part thereof, subject to conditions imposed under a CWA Section 404 permit of 401 Water Quality Certification?..... ☐ YES ☐ No
If yes, provide details: _____

VII. RECEIVING WATER INFORMATION

- A. Does the storm water runoff from the construction site discharge to (Check all that apply):
1. ☐ Indirectly to waters of the U.S.
 2. ☐ Storm drain system - Enter owner's name: _____
 3. ☐ Directly to waters of U.S. (e.g. , river, lake, creek, stream, bay, ocean, etc.)
- B. Name of receiving water: (river, lake, creek, stream, bay, ocean): _____

VIII. IMPLEMENTATION OF NPDES PERMIT REQUIREMENTS

- A. STORM WATER POLLUTION PREVENTION PLAN (SWPPP) (check one)
- ☐ A SWPPP has been prepared for this facility and is available for review: Date Prepared: ____/____/____ Date Amended: ____/____/____
- ☐ A SWPPP will be prepared and ready for review by (enter date): ____/____/____
- ☐ A tentative schedule has been included in the SWPPP for activities such as grading, street construction, home construction, etc.
- B. MONITORING PROGRAM
- ☐ A monitoring and maintenance schedule has been developed that includes inspection of the construction BMPs before anticipated storm events and after actual storm events and is available for review.
- If checked above: A qualified person has been assigned responsibility for pre-storm and post-storm BMP inspections to identify effectiveness and necessary repairs or design changes..... ☐ YES ☐ NO
- Name: _____ Phone: _____
- C. PERMIT COMPLIANCE RESPONSIBILITY
- A qualified person has been assigned responsibility to ensure full compliance with the Permit, and to implement all elements of the Storm Water Pollution Prevention Plan including:
1. Preparing an annual compliance evaluation..... ☐ YES ☐ NO
Name: _____ Phone: _____
 2. Eliminating all unauthorized discharges..... ☐ YES ☐ NO

IX. VICINITY MAP AND FEE (must show site location in relation to nearest named streets, intersections, etc.)

- Have you included a vicinity map with this submittal? ☐ YES ☐ NO
- Have you included payment of the annual fee with this submittal?..... ☐ YES ☐ NO

X. CERTIFICATIONS

"I certify under penalty of law that this document and all attachments were prepared under my direction and supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine or imprisonment. In addition, I certify that I have read the entire General Permit, including all attachments, and agree to comply with and be bound by all of the provisions, requirements, and prohibitions of the permit, including the development and implementation of a Storm Water Pollution Prevention Plan and a Monitoring Program Plan will be complied with."

Printed Name: _____

Signature: _____ Date: _____

Title: _____

303d Listed Water Bodies for Sedimentation

REGION	WATER BODY NAME	CODE	POLLUTANT
1	MATTOLE RIVER	1100	Sedimentation/Siltation
1	TRINITY RIVER, SOUTH FORK	1100	Sedimentation/Siltation
1	REDWOOD CREEK	1100	Sedimentation/Siltation
1	MAD RIVER	1100	Sedimentation/Siltation
1	ELK RIVER	1100	Sedimentation/Siltation
1	EEL RIVER, SOUTH FORK	1100	Sedimentation/Siltation
1	EEL RIVER, NORTH FORK	1100	Sedimentation/Siltation
1	TRINITY RIVER	1100	Sedimentation/Siltation
1	EEL RIVER, MIDDLE FORK	1100	Sedimentation/Siltation
1	MAD RIVER	2500	Turbidity
1	TEN MILE RIVER	1100	Sedimentation/Siltation
1	NOYO RIVER	1100	Sedimentation/Siltation
1	BIG RIVER	1100	Sedimentation/Siltation
1	ALBION RIVER	1100	Sedimentation/Siltation
1	NAVARRO RIVER	1100	Sedimentation/Siltation
1	GARCIA RIVER	1100	Sedimentation/Siltation
1	GUALALA RIVER	1100	Sedimentation/Siltation
1	RUSSIAN RIVER	1100	Sedimentation/Siltation
1	TOMKI CREEK	1100	Sedimentation/Siltation
1	VAN DUZEN RIVER	1100	Sedimentation/Siltation
1	EEL RIVER DELTA	1100	Sedimentation/Siltation
1	EEL RIVER, MIDDLE MAIN FORK	1100	Sedimentation/Siltation
1	ESTERO AMERICANO	1100	Sedimentation/Siltation
1	NAVARRO RIVER DELTA	1100	Sedimentation/Siltation
1	EEL RIVER, UPPER MAIN FORK	1100	Sedimentation/Siltation
1	FRESHWATER CREEK	1100	Sedimentation/Siltation
1	SCOTT RIVER	1100	Sedimentation/Siltation
2	TOMALES BAY	1100	Sedimentation/Siltation
2	NAPA RIVER	1100	Sedimentation/Siltation
2	SONOMA CREEK	1100	Sedimentation/Siltation
2	PETALUMA RIVER	1100	Sedimentation/Siltation
2	LAGUNITAS CREEK	1100	Sedimentation/Siltation
2	WALKER CREEK	1100	Sedimentation/Siltation
2	SAN GREGORIO CREEK	1100	Sedimentation/Siltation

2	SAN FRANCISQUITO CREEK	1100	Sedimentation/Siltation
2	PESCADERO CREEK (REG 2)	1100	Sedimentation/Siltation
2	BUTANO CREEK	1100	Sedimentation/Siltation
3	MORRO BAY	1100	Sedimentation/Siltation
3	SAN LORENZO RIVER ESTUARY	1100	Sedimentation/Siltation
3	SHINGLE MILL CREEK	1100	Sedimentation/Siltation
3	MOSS LANDING HARBOR	1100	Sedimentation/Siltation
3	WATSONVILLE SLOUGH	1100	Sedimentation/Siltation
3	SAN LORENZO RIVER	1100	Sedimentation/Siltation
3	ELKHORN SLOUGH	1100	Sedimentation/Siltation
3	SALINAS RIVER LAGOON (NORTH)	1100	Sedimentation/Siltation
3	GOLETA SLOUGH/ESTUARY	1100	Sedimentation/Siltation
3	CARPINTERIA MARSH (EL ESTERO MARSH)	1100	Sedimentation/Siltation
3	LOMPICO CREEK	1100	Sedimentation/Siltation
3	MORO COJO SLOUGH	1100	Sedimentation/Siltation
3	VALENCIA CREEK	1100	Sedimentation/Siltation
3	PAJARO RIVER	1100	Sedimentation/Siltation
3	RIDER GULCH CREEK	1100	Sedimentation/Siltation
3	LLAGAS CREEK	1100	Sedimentation/Siltation
3	SAN BENITO RIVER	1100	Sedimentation/Siltation
3	SALINAS RIVER	1100	Sedimentation/Siltation
3	CHORRO CREEK	1100	Sedimentation/Siltation
3	LOS OSOS CREEK	1100	Sedimentation/Siltation
3	SANTA YNEZ RIVER	1100	Sedimentation/Siltation
3	SAN ANTONIO CREEK (SANTA BARBARA COUNTY)	1100	Sedimentation/Siltation
3	CARBONERA CREEK	1100	Sedimentation/Siltation
3	SOQUEL LAGOON	1100	Sedimentation/Siltation
3	APTOS CREEK	1100	Sedimentation/Siltation
4	MUGU LAGOON	1100	Sedimentation/Siltation
5	HUMBUG CREEK	1100	Sedimentation/Siltation
5	PANOCHÉ CREEK	1100	Sedimentation/Siltation
5	FALL RIVER (PIT)	1100	Sedimentation/Siltation
6	BEAR CREEK (R6)	1100	Sedimentation/Siltation
6	MILL CREEK (3)	1100	Sedimentation/Siltation
6	HORSESHOE LAKE (2)	1100	Sedimentation/Siltation
6	BRIDGEPORT RES	1100	Sedimentation/Siltation
6	TOPAZ LAKE	1100	Sedimentation/Siltation
6	LAKE TAHOE	1100	Sedimentation/Siltation



Linda S. Adams
*Secretary for
Environmental Protection*

State Water Resources Control Board

Division of Water Quality

1001 I Street • Sacramento, California 95814 • (916) 341-5537
Mailing Address: P.O. Box 1977 • Sacramento, California • 95812-1977
FAX (916) 341-5543 • Internet Address: <http://www.waterboards.ca.gov/stormwtr/index.html>



Arnold Schwarzenegger
Governor

To: Storm Water Permit Holder

RE:NOTICE OF TERMINATION OF COVERAGE UNDER THE GENERAL CONSTRUCTION STORM WATER PERMIT (GENERAL PERMIT)

In order for us to terminate your coverage under the General Permit, please complete and submit the enclosed Notice of Termination (NOT) your local Regional Water Quality Control Board (RWQCB). Refer to the last page of the NOT packet for RWQCB locations.

Submittal of a NOT does not guarantee termination and outstanding invoices are still valid. If your NOT is denied, you will be required to continue complying with the requirements of the General Permit and all outstanding invoice(s) are due. You will be notified of your NOT status by the RWQCB or State Water Resources Control Board. Approval of your Notice of Termination does not relieve you from paying any applicable outstanding invoices.

Should you have any questions regarding this matter, please contact your local RWQCB at the number listed on the back page of the NOT package, or the Storm Water Unit at (916) 341-5537.

Sincerely,

Storm Water Unit
Division of Water Quality

Enclosure

SEND TO YOUR LOCAL RWQCB FOR APPROVAL

State of California
State Water Resources Control Board

NOTICE OF TERMINATION

OF COVERAGE UNDER THE NPDES GENERAL PERMIT NO. CAS000002
FOR DISCHARGES OF STORM WATER
ASSOCIATED WITH CONSTRUCTION ACTIVITY

Submission of this Notice of Termination constitutes notice that the owner (and his/her agent) of the site identified on this form is no longer authorized to discharge storm water associated with construction activity by NPDES General Permit No. CAS000002.

I. WDID NO.

II. OWNER

COMPANY NAME _____ CONTACT PERSON _____
STREET ADDRESS _____ TITLE _____
CITY _____ STATE _____ ZIP _____ PHONE _____

III. CONSTRUCTION SITE INFORMATION

A. DEVELOPER NAME _____ CONTACT PERSON _____
STREET ADDRESS _____ TITLE _____
CITY _____ CA _____ ZIP _____ PHONE _____

B. SITE ADDRESS _____ COUNTY _____
CITY _____ CA _____ ZIP _____ PHONE _____

IV. BASIS OF TERMINATION

_____ 1. The construction project is complete and the following conditions have been met.

- All elements of the Storm Water Pollution Prevention Plan have been completed.
- Construction materials and waste have been disposed of properly.
- The site is in compliance with all local storm water management requirements.
- A post-construction storm water operation and management plan is in place.

Date of project completion ____/____/____

_____ 2. Construction activities have been suspended, either temporarily _____ or indefinitely _____ and the following conditions have been met.

- All elements of the Storm Water Pollution Prevention Plan have been completed.
- Construction materials and waste have been disposed of properly.
- All denuded areas and other areas of potential erosion are stabilized.
- An operation and maintenance plan for erosion and sediment control is in place.
- The site is in compliance with all local storm water management requirements.

SEND TO YOUR LOCAL RWQCB FOR APPROVAL

Date of suspension ____/____/____ Expected start up date ____/____/____

____ 3. Site can not discharge storm water to waters of the United States (check one).

____ All storm water is retained on site.

____ All storm water is discharged to evaporation or percolation ponds offsite.

____ 4. Discharge of storm water from the site is now subject to another NPDES general permit or an individual NPDES permit.

NPDES Permit No. _____ Date coverage began ____/____/____

____ 5. There is a new owner of the identified site. Date of owner transfer ____/____/____

Was the new owner notified of the General Permit requirements? YES ____ NO ____

NEW OWNER INFORMATION

COMPANY NAME _____ CONTACT PERSON _____

STREET ADDRESS _____ TITLE _____

CITY _____ STATE _____ ZIP _____ PHONE _____

V. EXPLANATION OF BASIS OF TERMINATION (Attach site photographs - see instructions).

VI. CERTIFICATION:

I certify under penalty of law that all storm water discharges associated with construction activity from the identified site that are authorized by NPDES General Permit No. CAS000002 have been eliminated or that I am no longer the owner of the site. I understand that by submitting this Notice of Termination, I am no longer authorized to discharge storm water associated with construction activity under the general permit, and that discharging pollutants in storm water associated with construction activity to waters of the United States is unlawful under the Clean Water Act where the discharge is not authorized by a NPDES permit. I also understand that the submittal of this Notice of Termination does not release an owner from liability for any violations of the general permit or the Clean Water Act.

PRINTED NAME _____ TITLE _____

SIGNATURE: _____ DATE ____/____/____

REGIONAL WATER BOARD USE ONLY

This Notice of Termination has been reviewed, and I recommend termination of coverage under the subject NPDES general permit.

Printed Name _____ Region No. _____

Signature _____ Date ____/____/____

NOT effective date:

Date: ____/____/____

**INSTRUCTIONS FOR COMPLETING
NOTICE OF TERMINATION
FOR CONSTRUCTION ACTIVITY**

Who May File

Dischargers who are presently covered under NPDES General Permit No. CAS000002 for discharge of storm water associated with construction activity may submit a Notice of Termination when they meet one of the following criteria.

1. The construction project has been completed and the following conditions have been met: all elements of the Stormwater Pollution Prevention Plan have been completed; construction materials and equipment maintenance waste have been disposed of properly; the site is in compliance with all local storm water management requirements including erosion/sediment control requirements and the appropriate use permits have been obtained; and a post-construction storm water operation and management plan is in place.
2. Construction activities have been suspended, either temporarily or indefinitely and the following conditions have been: all elements of the Stormwater Pollution Prevention Plan have been completed; construction materials and equipment maintenance waste have been disposed of properly; all denuded areas and other areas of potential erosion are stabilized; an operation and maintenance plan for erosion and sediment control is in place; and the site is in compliance with all local storm water management requirements including erosion/sediment control requirements.
The date construction activities were suspended, and the expected date construction activities will start up again should be provided.
3. Construction site can not discharge storm water to waters of the United States. Please indicate if all storm water is retained on site or if storm water is collected offsite.
4. Discharge of construction storm water from the site is now subject to another NPDES general permit or an individual NPDES permit. The general permit or individual permit NPDES number and date coverage began should be provided.
5. There is a new owner of the identified site. If ownership or operation of the facility has been transferred then the previous owner must submit a Notice of Termination and the new owner must submit a Notice of Intent for coverage under the general permit. The date of transfer and information on the new owner should be provided.
Note that the previous owner may be liable for discharge from the site until the new owner files a Notice of Intent for coverage under the general permit.

Where to File

Submit the Notice of Termination to the Executive Officer of the Regional Water Quality Control Board responsible for the area in which the facility is located. See attached. Submittal of a NOT does not guarantee termination and outstanding invoices are still valid. If the Executive Officer, or his designated staff, agrees with the basis of termination, the Notice of Termination will be transmitted to the State Water Board for processing at which time it will be determined if any outstanding invoices are still valid. Approval of your Notice of Termination does not relieve you from paying any applicable outstanding invoices. If the Executive Officer, or his designated staff, does not agree with the basis of termination, the Notice of Termination will be returned. The Regional Water Board may also inspect your site prior to accepting the basis of termination.

LINE-BY-LINE INSTRUCTIONS

All necessary information must be provided on the form. Type or print in the appropriate areas only. Submit additional information, if necessary, on a separate sheet of paper.

SECTION I--WDID NO.

The WDID No. is a number assigned to each discharger covered under the General Permit. If you do not know your WDID No., please call the State Water Board or Regional Water Board and request it prior to submittal of the Notice of Termination.

SECTION II--OWNER

Enter the owner of the construction site's official or legal name (This should correspond with the name on the Notice of Intent submitted for the site), address of the owner, contact person, and contact person's title and telephone number.

SECTION III--CONSTRUCTION SITE INFORMATION

In Part A, enter the name of the developer (or general contractor), address, contact person, and contact person's title and telephone number. The contact person should be the construction site manager completely familiar with the construction site and charged with compliance and oversight of the general permit. This information should correspond with information on the Notice of Intent submitted for the site.

In Part B, enter the address, county, and telephone number (if any) of the construction site. Construction sites that do not have a street address must attach a legal description of the site.

SECTION IV--BASIS OF TERMINATION

Check the category which best defines the basis of your termination request. See the discussion of the criteria in the Who May File section of these instructions. Provide dates and other information requested. Use the space under Explanation of Basis of Termination heading.

SECTION V--EXPLANATION OF BASIS OF TERMINATION

Please explain the basis or reasons why you believe your construction site is not required to comply with the General Permit. To support your explanation, provide a site map and photograph of your site.

SECTION VI--CERTIFICATION

This section must be completed by the owner of the site.

The Notice of Termination must be signed by:

For a Corporation: a responsible corporate officer

For a Partnership or Sole Proprietorship: a general partner or the proprietor, respectively.

For a Municipality, State, or other Non-Federal Public Agency: either a principal executive officer or ranking elected official.

For a Federal Agency: either the chief or senior executive officer of the agency.

CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARDS

NORTH COAST REGION (1)
 5550 Skylane Blvd, Ste. A
 Santa Rose, CA 95403
 (707) 576-2220 FAX: (707) 523-0135
<http://www.waterboards.ca.gov/northcoast/>

SAN FRANCISCO BAY REGION (2)
 1515 Clay Street, Ste. 1400
 Oakland, CA 94612
 (510) 622-2300 FAX: (510) 622-2640
<http://www.waterboards.ca.gov/sanfranciscobay/>

CENTRAL COAST REGION (3)
 895 Aerovista Place, Ste 101
 San Luis Obispo, CA 93401
 (805) 549-3147 FAX: (805) 543-0397
<http://www.waterboards.ca.gov/centralcoast/>

LOS ANGELES REGION (4)
 320 W. 4th Street, Ste. 200
 Los Angeles, CA 90013
 (213) 576-6600 FAX: (213) 576-6640
<http://www.waterboards.ca.gov/losangeles/>

LAHONTAN REGION (6 SLT)
 2501 Lake Tahoe Blvd.
 South Lake Tahoe, CA 96150
 (530) 542-5400 FAX: (530) 544-2271
<http://www.waterboards.ca.gov/lahontan/>

VICTORVILLE OFFICE (6V)
 14440 Civic Drive, Ste. 200
 Victorville, CA 92392-2383
 (760) 241-6583 FAX: (760) 241-7308
<http://www.waterboards.ca.gov/lahontan/>

CENTRAL VALLEY REGION (5S)
 11020 Sun Center Dr., #200
 Rancho Cordova, CA 95670-6114
 (916) 464-3291 FAX: (916) 464-4645
<http://www.waterboards.ca.gov/centralvalley/>

FRESNO BRANCH OFFICE (5F)
 1685 E St.
 Fresno, CA 93706
 (559) 445-5116 FAX: (559) 445-5910
<http://www.waterboards.ca.gov/centralvalley/>

REDDING BRANCH OFFICE (5R)
 415 Knollcrest Drive, Ste. 100
 Redding, CA 96002
 (530) 224-4845 FAX: (530) 224-4857
<http://www.waterboards.ca.gov/centralvalley/>

COLORADO RIVER BASIN REGION (7)
 73-720 Fred Waring Dr., Ste. 100
 Palm Desert, CA 92260
 (760) 346-7491 FAX: (760) 341-6820
<http://www.waterboards.ca.gov/coloradoriver/>

SANTA ANA REGION (8)
 3737 Main Street, Ste. 500
 Riverside, CA 92501-3339
 Phone (951) 782-4130 FAX: (951) 781-6288
<http://www.waterboards.ca.gov/santaana/>

SAN DIEGO REGION (9)
 9174 Sky Park Court, Ste. 100
 San Diego, CA 92123-4340
 (858) 467-2952 FAX: (858) 571-6972
<http://www.waterboards.ca.gov/sandiego/>

STATE OF CALIFORNIA
 Arnold Schwarzenegger, Governor

**CALIFORNIA ENVIRONMENTAL
 PROTECTION AGENCY**
 Linda S. Adams, Secretary

**STATE WATER RESOURCES
 CONTROL BOARD**
 Tam M. Doduc Chair

